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PTO/SB/05 (03-01)

07/09/01



# UTILITY PATENT APPLICATION TRANSMITTAL

Form for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 17656USA(01172)

First Inventor Q. Peter Zhang

Title Hot-Fillable Plastic Container ...

Express Mail Label No. EL695202355US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 13]  
(Preferred arrangement set forth below)
  - Descriptive text of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]

5. Oath or Declaration [Total Pages ]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)

☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.63(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Express Mail Cert.
17. ☒ Other: Req. & Cert. under 35 U.S.C. 122(b)(1)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Address bar code label here)

or ☒ Correspondence address below

Name Howard G. Bruss, Esq.

Owens-Illinois, Inc.

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OH

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Name (Print/Type)

Thomas A. Meehan

Registration No. (Attorney/Agent)

19,713

Signature

Thomas A. Meehan

Date

July 9, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Q. Peter Zhang and Stephen R. Guerin

Title: Hot-Fillable Plastic Container With Integrated Handle

\* \* \* \* \*

Certificate Under 37 CFR §1.10

Assistant Commissioner for Patents  
Washington, D.C. 20231

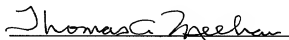
"Express Mail" mailing label number EL6952022355US

Date of Deposit: July 9, 2001

Sir:

I hereby certify that this correspondence, New Patent Application, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,

  
Thomas A. Meehan

Emch, Schaffer, Schaub  
& Porcello, Co., L.P.A.  
P.O. Box 916  
Toledo, OH 43697-0916  
(419)243-1294  
Attorney Docket: 17656USA(01172)

# FEE TRANSMITTAL for FY 2001

Patient fees are subject to annual revision.

## Complete If Known

TOTAL AMOUNT OF PAYMENT (\$ ) 710.00

Application Number  
Filing Date  
First Named Inventor Q. Peter Zhang  
Examiner Name  
Group Art Unit  
Attorney Docket No. 17656USA(01172)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
- Deposit Account Number 15-0875
- Deposit Account Name Owens-Illinois, Inc.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.47
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)		
101 710 201 355				Utility filing fee	710.00
108 320 206 160				Design filing fee	
107 450 207 245				Plant filing fee	
108 710 208 355				Reissue filing fee	
114 160 214 75				Provisional filing fee	

SUBTOTAL (1) (\$ ) 710.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
18	-20** =	X	0.00
1	-3** =	X	0.00
Multiple Dependent			0.00

Large Entity Small Entity	Fee	Fee	Fee	Fee Description
Code (\$)	Code (\$)	Code (\$)	Code (\$)	
103 18 203 9				Claims in excess of 20
102 80 202 40				Independent claims in excess of 3
104 270 204 135				Multiple dependent claim, if not paid
106 80 206 40				** Release independent claims over original patent
110 18 210 9				** Release claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*for number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)		
105 130 205 65			Surcharge - late filing fee or oath	
127 60 227 25			Surcharge - late provisional filing fee or cover sheet	
130 130 130 130			Non-English specification	
147 2,520 147 2,520			For filing a request for ex parte reexamination	
112 920* 112 920*			Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*			Requesting publication of SIR after Examiner action	
118 110 215 65			Extension for reply within first month	
118 390 216 195			Extension for reply within second month	
117 890 217 445			Extension for reply within third month	
118 1,360 218 695			Extension for reply within fourth month	
128 1,890 228 945			Extension for reply within fifth month	
119 310 219 155			Notice of Appeal	
120 310 220 155			Filing a brief in support of an appeal	
121 270 221 135			Request for oral hearing	
138 1,510 138 1,510			Petition to institute a public use proceeding	
140 110 240 55			Petition to revive - unavoidable	
141 1,240 241 620			Petition to revive - unintentional	
142 1,240 242 620			Utility issue fee (or reissue)	
143 440 243 220			Design issue fee	
144 600 244 300			Plant issue fee	
122 130 122 130			Petitions to the Commissioner	
123 60 123 50			Processing fee under 37 CFR 1.17(g)	
128 180 128 180			Submission of Information Disclosure Sheet	
681 40 581 40			Recording each patent assignment per property (times number of properties)	
148 710 248 355			Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710 249 355			For each additional invention to be examined (37 CFR § 1.128(b))	
179 710 279 355			Request for Continued Examination (RCE)	
169 800 169 900			Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0.00

## SUBMITTED BY

Name (Print/Type) Thomas A. Meehan

Registration No. (Attorney/Agent)

19,713

## Complete (if applicable)

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Signature

Thomas A. Meehan

Date

July 9, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor	Zhang, Q. Peter
Title	Hot-Fillable Plastic Container ...
Atty Docket Number	17656USA (01172)

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

July 9, 2001  
Date

Thomas A. Zeehan  
Signature

Thomas A. Meehan

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.213(e). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.